# BEFORE THE HEARING BOARD OF THE ILLINOIS ATTORNEY REGISTRATION AND DISCIPLINARY COMMISSION

**FILED** 

August 06, 2025

ARDC CLERK

In the Matter of:

**ALVIN PHILLI PORTIS,** 

Attorney-Respondent,

Commission No. 2023PR00063

No. 6294643.

### REPORT AND RECOMMENDATION OF THE HEARING BOARD

#### **DEFAULT PROCEEDING**

The hearing in this matter was held by videoconference on July 21, 2025, before a Hearing Board Panel consisting of Heather A. McPherson, Chair, Jennifer L. Guimond-Quigley, and Michael Silver. Richard C. Gleason II appeared on behalf of the Administrator. Respondent appeared *pro se*. The Administrator requested that Respondent be suspended for one year and until further order of the Court. We agree with the Administrator's request.

We have considered the Administrator's two-count Complaint, filed on September 27, 2023, a copy of which is attached as Exhibit 1. In addition, we have considered the order entered on July 11, 2025, deeming the allegations of the complaint admitted, a copy of which is attached as Exhibit 2.

Respondent filed his answer on January 23, 2025. Also on January 23, 2025, the Chair ordered that discovery be completed by April 30, 2025 and scheduled the hearing in this matter for July 21 and 22, 2025. The parties did not complete Respondent's deposition by the discovery cutoff because Respondent asked to reschedule his deposition that had been scheduled for April 30, 2025. On June 3, 2025, Respondent appeared for but did not complete his deposition. Subsequently, he cancelled another deposition date and did not respond to the Administrator's

attempt to reschedule. The Administrator then moved to bar Respondent's testimony and deem the allegations of the Complaint admitted. Respondent did not file a response. The Chair granted the Administrator's motion and struck Respondent's answer as a sanction for failing to cooperate to complete his deposition. At hearing, Respondent made an oral motion to vacate the default order, which was denied.

The allegations deemed admitted establish that, while working as an assistant Cook County State's Attorney, Respondent committed misconduct in a case involving a plaintiff who claimed that the Cook County Pension Board incorrectly determined his pension contributions. Specifically, Respondent failed to comply with discovery orders, misrepresented to the court that he had authority to settle the case, failed to obtain approval of the proposed settlement, misrepresented to opposing counsel that he had taken steps to have the settlement approved, made untrue statements to his supervisor when asked about the status of the case, and failed to appear in court for nine consecutive status hearings. In addition, Respondent failed to respond to the Administrator's requests for information. Based on these admitted allegations, the Administrator established by clear and convincing evidence that Respondent violated Illinois Rules of Professional Conduct 1.3, 3.1(a)(1), 3.2, 4.1, 8.4(c), and 8.1(b).

In mitigation, we consider that Respondent, who was licensed in 2007, has no prior discipline. He expressed genuine remorse and acknowledged that he should have done things differently. We further find that he appreciates the gravity of this matter. We also consider his testimony regarding challenging health and family issues he currently faces and was facing at the time of the misconduct. In the past eight weeks, he has begun obtaining treatment. We commend Respondent for doing so.

In aggravation, Respondent was an experienced attorney who engaged in a pattern of dishonest conduct over a period of several years. The misconduct harmed Cook County's ability to defend itself in the underlying proceeding.

Additionally, Respondent's treatment of the health issues of concern in this matter is in the beginning stages. We encourage Respondent to diligently continue treatment and follow all treatment recommendations. Based on Respondent's testimony and our observations, we find that further treatment is needed to provide certainty that Respondent is able to practice in accordance with the Rules of Professional Conduct. For this reason, we agree with the Administrator that Respondent should be required to successfully petition for reinstatement pursuant to Supreme Court Rule 767 before he may return to practice. Therefore, we recommend a suspension for one year and until further order of the Court.

### Accordingly,

- 1. On October 3, 2023, Respondent indicated that he would accept service of the Administrator's Complaint by email and was so served that same day. A copy of the Affidavit of Agreed Service Pursuant to Commission Rule 214(c) is attached as Exhibit 3.
- 2. The allegations and charges of the Complaint were deemed admitted in an order entered on July 11, 2025. A copy of that order is attached as Exhibit 2.
- 3. In consideration of the order deeming the allegations and charges of the Complaint admitted, this Panel finds that Respondent committed the charged misconduct.
- 4. Based on Respondent's misconduct, the mitigating and aggravating factors, and the relevant case law, we recommend that Respondent be suspended for one year and until further order of the Court.
- 5. The Panel has concluded that this report format will adequately and appropriately communicate its recommendation to the Court.

Respectfully submitted,

Heather A. McPherson Jennifer L. Guimond-Quigley Michael Silver

### **CERTIFICATION**

I, Michelle M. Thome, Clerk of the Attorney Registration and Disciplinary Commission of the Supreme Court of Illinois and keeper of the records, hereby certifies that the foregoing is a true copy of the Report and Recommendation of the Hearing Board, approved by each Panel member, entered in the above entitled cause of record filed in my office on August 6, 2025.

/s/ Michelle M. Thome
Michelle M. Thome,
Clerk of the Attorney Registration and
Disciplinary Commission of the
Supreme Court of Illinois

4925-1079-7399, v. 1

### Exhibit 1

### 2023PR00063

## BEFORE THE HEARING BOARD OF THE ILLINOIS ATTORNEY REGISTRATION AND DISCIPLINARY COMMISSION

In the Matter of:	)
ALVIN PHILLI PORTIS,	) ) Commission No. 2022DD22222
Attorney-Respondent,	) Commission No. 2023PR00063
No. 6294643.	)

### **COMPLAINT**

Jerome Larkin, Administrator of the Attorney Registration and Disciplinary Commission, by his attorney, Richard Gleason, pursuant to Supreme Court Rule 753(b), complains of Respondent Alvin Philli Portis, who was licensed to practice law in Illinois on December 18, 2007, and alleges that Respondent has engaged in the following conduct which subjects him to discipline pursuant to Supreme Court Rule 770:

### COUNT I

(Lack of Diligence, Failure to Expedite Litigation, and False Statements)

- 1. During the events described in this complaint, Respondent was employed as an Assistant State's Attorney ("ASA") in the Office of the Cook County State's Attorney ("CCSAO") in the CCSAO's Civil Actions Bureau in Chicago, where his responsibilities included representing Cook County and various Cook County agencies or boards in the defense of allegations made against them, typically in litigation matters filed in state court.
- 2. During that same period of time, the Illinois Counties Act ("the Act") [55 ILCS 5/3-9005 (Powers and Duties of State's Attorney)] provided in part that "the duty of each State's Attorney shall be [...] to defend all actions and proceedings brought against the county, or against any county or State officer, in the county or State officer's official capacity, within the county."

FILED 9/27/2023 2:05 PM ARDC Clerk

- 3. In his capacity as an ASA in the Civil Litigation Division, Respondent represented Cook County and various county agencies and boards consistent with the requirements of the Act. Respondent did not have authority to enter into settlement agreements of any kind without authority or approval of his supervisor at the CCSAO. If his supervisors granted Respondent with that authority on a matter, and a settlement agreement was reached, the settlement agreement was submitted to the Cook County Board Litigation Subcommittee for approval. Only upon the Litigation Subcommittee's approval would the Cook County Board authorize payment of a settlement.
- 4. On or about August 21, 2015, Dr. Mark Krause ("the plaintiff") filed a claim against multiple defendants, including Cook County, in the Circuit Court of Cook County. The clerk of the court assigned the matter docket number 2015L005985, *Mark D. Krause, M.D and Sally Krause v. Cook County Pension Fund; Retirement Board of the County Employees' Annuity and Benefit Fund and Ex Officio for the Forest Preserve District Employees' Annuity and Benefit Fund; and County of Cook.* ("the Krause case") In that complaint, the plaintiff claimed that the County Pension Board had incorrectly determined his pension contributions. The Krause case was assigned to Judge Eve Reilly.
- 5. On February 16, 2016, attorneys for the Cook County Pension Fund and Retirement Board filed a joint motion to dismiss the plaintiff's complaint. On November 10, 2016, the Court entered an order granting the Cook County Pension Fund's and Retirement Board's motion to dismiss with prejudice. Following the court's November 10, 2016 order, Cook County was the lone remaining defendant in the case. On or about May 4, 2016, Respondent's supervisors at the CCSAO assigned Respondent to represent Cook County in the *Krause* case, and thereafter Respondent appeared on the *Krause* case for the first time on behalf of Cook County.

- 6. On May 4, 2016, Respondent filed the first of a series of motions on behalf of Cook County to dismiss the plaintiff's complaint. Those motions were granted without prejudice, which permitted the plaintiff to file amended complaints. On July 14, 2017, the plaintiff filed his third amended complaint in the *Krause* case. On August 23, 2017, Judge Reilly ordered Cook County to answer the complaint by September 21, 2017, and ordered the parties to issue written discovery by the same date. The plaintiff issued written discovery. Respondent did not.
- 7. As of January 3, 2018, Respondent had not filed his answer to the complaint on behalf of Cook County and had not responded to any of the plaintiff's written discovery. On January 3, 2018, plaintiff's counsel filed a motion to compel Cook County's answer to the complaint and its response to written discovery. On January 9, 2018, Judge Reilly ordered Respondent to file Cook County's answer and comply with all written discovery by February 9, 2018. Judge Reilly continued the matter to February 21, 2018 for a case management hearing.
- 8. As of February 21, 2018, Respondent had yet to file his answer on behalf of Cook County or comply with written discovery. Judge Larsen, substituting for Judge Reilly, ordered Cook County to comply with written discovery and answer the complaint by February 28, 2018. Judge Larsen continued the matter to March 8, 2018 for a case management hearing. Prior to March 8, 2018, Respondent filed an answer on behalf of Cook County in the *Krause* case.
- 9. Between March 8, 2018 and July 18, 2018, the judge presiding over the matter continued the *Krause* case six times, each time ordering Respondent to comply with written discovery and to produce witnesses for depositions which had been scheduled by plaintiff's counsel. As of July 18, 2018, Respondent had yet to comply with written discovery. Respondent appeared in court on neither the June 28, 2018 nor the July 18, 2018 case management hearings. On July 18, 2018, Judge Larsen, substituting for Judge Reilly, ordered Cook County to comply

with written discovery by August 1, 2018, noted that Respondent had failed to appear for the last two case management hearings, and ordered Respondent to appear in court on the following case management hearing date of August 16, 2018. Respondent appeared in court on August 16, 2018, and Judge Larsen continued the matter for October 17, 2018 for status of depositions sought by the plaintiff.

- 10. As of September 29, 2019, Respondent had failed to comply with the written discovery the plaintiff had previously issued, described in paragraph nine, above. On September 29, 2019, plaintiff's counsel served Respondent with 62 Requests to Admit pursuant to Illinois Supreme Court Rule 216 ("Rule 216"), requesting Cook County's admission of facts pertaining to the plaintiff's allegations in the *Krause* case.
- 11. Rule 216 permits a party in a lawsuit to serve another party with a written request for the admission by the latter of the truth of any specified relevant fact set forth in the request. Each of the matters of fact of which admission is requested is deemed admitted, unless within 28 days after service of the request, the receiving party, in writing, either denies the matters of fact for which admission is sought, or objects to the request on the grounds of privilege or relevance. Rule 216 further provides a maximum of 30 Requests to Admit that a party is permitted to serve upon another party.
- 12. The plaintiff's requests to admit included seeking Cook County's admissions of allegations contained in the plaintiff's complaint and necessary elements for the plaintiff to prove his case. Among those requests to admit, the plaintiff sought: that Cook County admit the amount of withholdings from Dr. Krause's gross income; that Cook County determined the amount of retirement contributions withheld from Dr. Krause's gross income; that Cook County failed to timely pay Dr. Krause; that Dr. Krause did not consent to Cook County's return of funds withheld

from his gross wages; that it was reasonable for Dr. Krause to believe that his retirement benefits were not subject to a pension cap; and that Cook County could have structured Dr. Krause's benefits in a way that did not violate the pension cap.

- 13. Despite Rule 216's requirement that the receiving party provide responses to requests to admit within 28 days of receipt of those requests, Respondent failed to timely respond to the requests to admit or file an objection with the court based on the fact that the number of the plaintiff's requests to admit exceeded the maximum number permitted by Rule 216.
- 14. Between October 24, 2019 and January 30, 2020, Respondent repeatedly sought extensions of time from the court to respond to the plaintiff's Rule 216 Requests to Admit, all of which were granted. On December 20, 2019, Respondent filed Cook County's first response to the plaintiff's requests to admit. On January 29, 2020, plaintiff's counsel wrote Respondent to state that he objected to the response, alleging that the answers contained in the response failed to comport with Rule 216 because Cook County failed to admit or deny many of the requests to admit. Plaintiff's counsel asked Respondent to submit amended answers to the requests to admit within 14 days.
- 15. On February 13, 2020, Respondent filed an amended response to the requests to admit. After reviewing Cook County's amended response, plaintiff's counsel again alleged that Respondent, in his amended response, had failed to cure the defects contained in Cook County's original response filed on December 20, 2019, described in paragraph 14, above. On February 24, 2020 plaintiff's counsel filed a motion to compel Cook County's response to the requests to admit in accord with Rule 216.
- 16. Beginning on or about March 17, 2020, while plaintiff's counsel's motion to compel, described in paragraph 15, above, remained pending, Respondent and plaintiff's counsel

discussed the possibility of settlement. On April 20, 2020, Respondent and plaintiff's counsel agreed to ask Judge Reilly to conduct a settlement conference in the matter.

- 17. On or about May 13, 2020, the plaintiff and Respondent, on behalf of Cook County, engaged in a settlement conference before Judge Reilly. During that settlement conference, Respondent told plaintiff's counsel and Judge Reilly that he had authority to recommend settlement of the case on behalf of Cook County. At the conclusion of the settlement conference, Judge Reilly recommended that Cook County settle the *Krause* case by paying the plaintiff \$300,000. Respondent agreed to Judge Reilly's settlement recommendation, and Judge Reilly entered an order on the same day stating that the parties had agreed to settle the case for \$300,000. Judge Reilly further ordered Respondent to recommend the settlement through the CCSAO's procedures, and to take the necessary steps to obtain approval of the settlement so that the funds could be paid to the plaintiff. The plaintiff's motion to compel, described in paragraph 15, above, remained pending.
- 18. Prior to May 13, 2020, Respondent had not notified his supervisors at the CCSAO that he would participate in a settlement conference on the *Krause* case, and had not sought from his supervisors authority to settle the case for any amount on behalf of Cook County.
- 19. Respondent's statement to plaintiff's counsel and Judge Reilly, described in paragraph 16, above, that he had authority to settle the *Krause* case was false, because Respondent did not have the authority to recommend settlement on behalf of Cook County; rather, CCSAO policy required that Respondent obtain his supervisors' approval before recommending a settlement.
- 20. Respondent knew his statement described in paragraph 17, above, was false, because, as a result of his experience working in the CCSAO's Litigation Division, Respondent

knew that he was required to obtain his supervisor's approval before entering into any settlement agreement of any amount on behalf of Cook County, and further knew that he was required to obtain the approval of the Civil Actions Bureau Chief before agreeing to a settlement on behalf of Cook County in excess of \$100,000.

- 21. Between May 13, 2020 and December 15, 2020, Respondent had no conversations with any of his supervisors at the CCSAO or anyone at the Cook County Board regarding the recommended settlement of the *Krause* case, or regarding the fact that he had entered into a settlement conference on the matter.
- 22. In the months following the May 13, 2020 settlement conference, Respondent repeatedly represented to plaintiff's counsel that the settlement agreement in the *Krause* case would soon be submitted to the Cook County Board's Litigation Subcommittee for approval. On nine separate court dates between June 22, 2020 and February 26, 2021, Respondent and plaintiff submitted agreed orders for continuances which stated that the reason for the continuance was "status on settlement," which related to Respondent's purported attempts to obtain Cook County's approval for the purported agreed-upon \$300,000 settlement of the *Krause* case. At no point did Respondent disclose to his supervisors at the CCSAO or anyone at the Cook County Board that he had agreed to settle the case.
- 23. On August 25, 2020, Respondent sent an email to plaintiff's counsel stating: "The good news is that the settlement is still in play and trying to get final approval. The remote set up has slowed everything down and there have been a lot of questions and consultation with various people in the County about this entire issue." On September 29, 2020, Respondent sent an email to plaintiff's counsel stating: "This settlement offer will be tendered to the litigation sub-committee

on finance for the County Board for the October 20<sup>th</sup> board meeting." On October 30, 2020, Respondent sent an email to plaintiff's counsel stating:

- "Sorry for the delay. This one falls on me. I missed the deadline for getting it submitted. An issue arose internally and some follow up questions needed to be addressed that quite frankly between having to take mandatory furlough time off, and my involvement in some heavy TRO and injunctive matters related to the pandemic. (*sic*) I understand this settlement is taking long, but I believe it's just technical hurdles that I have to straighten out. My goal is to have this resolved and before the Board for their December meeting."
- 24. Respondent's statements to plaintiff's counsel described in paragraph 23, above, were false, because Respondent did not have conversations with anyone at the CCSAO or Cook County regarding the settlement of the *Krause* case, and had taken no efforts to submit the agreed-upon settlement to the Cook County Board Litigation Subcommittee.
- 25. Respondent knew his statements described in paragraph 23, above, were false, because he was the only person at the CCSAO who had knowledge of the settlement agreement in the *Krause* case, knew that he had told no one at the CCSAO or Cook County about the settlement, and knew that he had taken no steps to obtain his supervisors' approval of the settlement or to submit the purported settlement to the Cook County Board Litigation Subcommittee.
- 26. On December 15, 2020, Prathima Yeddanapudi, one of Respondent's supervisors at the CCSAO, sent an email to Respondent inquiring about the status of the *Krause* case. In the email, Ms. Yeddanaputi stated: "Ok, is there anything else I can report on this case? The last status date was set for 2/23/19 and I can't find anything else." Respondent replied: "Nothing substantive at this point. Settlement talks with the judge pending."
- 27. Respondent's statement to Ms. Yaddanuputi, described in paragraph 26, above, was false, because the facts of the settlement conference, Judge Reilly's settlement recommendation, Respondent's agreement to recommend that settlement, and Respondent's statements to the

plaintiff's counsel that the purported settlement would be submitted to the Cook County Board Litigation Subcommittee were all substantive events in the *Krause* case.

- 28. Respondent knew his statement to Ms. Yaddanuputi, described in paragraph 26, above, was false, because at the time he made the statement he had already participated in the settlement conference before Judge Reilly, had agreed to recommend the settlement to the Cook County Board Litigation Subcommittee, had already told plaintiff's counsel that the purported settlement agreement would be presented before the Cook County Board Litigation Subcommittee, and knew that the CCSAO required ASAs in the Civil Action Division to keep their supervisors apprised of all substantive events in an ASA's cases.
- 29. On or before March 29, 2021, Respondent informed plaintiff's counsel that the County would not settle the case despite the settlement agreement made by Respondent and plaintiff's counsel and recommended by Judge Reilly. Respondent then failed to appear in court on the matter for the next nine status dates: March 29, 2021; June 1, 2021; July 8, 2021; October 18, 2021; November 17, 2021; December 2, 2021; January 21, 2022; February 16, 2022; and March 18, 2022. Respondent failed to notify his supervisors that he would not be present in court on those nine dates, and so for each of those court appearances, Cook County was unrepresented by counsel in court.
- 30. As of December 2, 2021, Respondent had issued no written discovery to the plaintiff, and had not noticed any depositions for any witnesses in the *Krause* case. On December 2, 2021, Judge Reilly entered an order granting the plaintiff's still-pending motion to compel, described in paragraph 15, above, and closed fact-discovery on the *Krause* case, which had the effect of precluding Cook County from deposing any non-expert witnesses on the *Krause* case and

from issuing any written discovery to the plaintiff. As part of the order, Judge Reilly further deemed admitted 19 of the plaintiff's Requests to Admit, described in paragraphs 10-12, above.

- 31. Respondent resigned from the Cook County State's Attorney's Office on March 25, 2022, and the Office of the Clerk of the Circuit Court of Cook County hired Respondent as Deputy General Counsel.
- 32. Throughout the time Respondent was assigned to represent Cook County on the *Krause* case, based on the law, the allegations contained in the plaintiff's complaint, and their belief that they would be able to depose the plaintiff and his witnesses, Respondent's supervisors were confident that Cook County would prevail against the plaintiff at trial if not earlier by way of summary judgment.
- 33. Upon Respondent's resignation, his supervisors first became aware of the settlement recommendation in the *Krause* case, that Judge Reilly had closed fact-discovery, and that Judge Reilly had deemed admitted allegations of fact against Cook County. Cook County's inability to issue written discovery in the Krause case, take necessary depositions of the plaintiff's witnesses, and contest the plaintiff's allegations that were deemed admitted all prevented Cook County from mounting a defense in the *Krause* case.
- 34. On or about May 12, 2022, following his supervisor's discovery of Respondent's actions in the Krause case, the CCSAO appointed outside counsel to replace Respondent on the Krause case. On or about June 7, 2022, as a result of the Rule 216 admissions and its inability to conduct discovery of its own, outside counsel for Cook County was compelled to settle the case for \$300,000. The Cook County Board Litigation Subcommittee approved the settlement on September 22, 2022, and Cook County subsequently paid Dr. Krause the settlement sum of \$300,000.

- 35. By reason of the conduct described above, Respondent has engaged in the following misconduct:
  - a. failing to act with reasonable diligence and promptness in representing a client, by conduct including repeatedly failing to appear in court on behalf of Cook County in the *Krause* case and by neglecting to take steps to gain the Cook County Board's approval of the agreed-upon settlement, in violation of Rule 1.3 of the Illinois Rules of Professional Conduct (2010);
  - b. making a false statement of fact to a tribunal, by conduct including stating to Judge Reilly on May 20, 2020, that he had authority to agree to a settlement of the Krause case when he knew he did not, in violation of Rule 3.1(a)(1) of the Illinois Rules of Professional Conduct (2010);
  - c. failing to make reasonable efforts to expedite litigation consistent with the interests of the client, by conduct including failing to promptly comply with discovery orders in the *Krause* case and by not appearing for multiple court dates on the Krause case, in violation of Rule 3.2 of the Illinois Rules of Professional Conduct (2010);
  - d. making false statements of material fact to third persons, by conduct including falsely stating to the plaintiff's counsel in the *Krause* case that he had had discussions about the settlement with his supervisors and that he was working to place the settlement before the Cook County Board Litigation Subcommittee for approval, and by falsely stating to his CCSAO supervisor that nothing substantive had taken place in the *Krause* case when he had already agreed to recommend settlement of the case on the County's behalf for \$300,000 following a settlement conference, in violation of Rule 4.1 of the Illinois Rules of Professional Conduct (2010); and

e. engaging in conduct involving dishonesty, fraud, deceit, or misrepresentation, by conduct including falsely stating to the plaintiff's counsel that Respondent had taken action to gain the Cook County Board Litigation Subcommittee's approval of the settlement in the *Krause* case, and by falsely stating to his CCSAO supervisor that nothing substantive had taken place in the Krause case, in violation of Rule 8.4(c) of the Illinois Rules of Professional Conduct (2010).

#### Count II

(Failure to Respond to the ARDC's Requests for Information)

- 36. The Administrator realleges the allegations contained in paragraphs one through 34, above.
- 37. On August 8, 2022, counsel for the Administrator sent Respondent a request for information relating to the allegations described in paragraphs one through 34, above. In the request, counsel for the Administrator asked Respondent to respond in writing to the allegations within 14 days. The request was sent via email to the personal email address Respondent provided to the Commission as part of his annual registration.
- 38. As of September 21, 2022, Respondent had not provided a response to the allegations. On that day, counsel for the Administrator sent Respondent another request for information to Respondent's personal email address, seeking the same written response as originally requested on August 8, 2022.
- 39. As of October 4, 2022, Respondent had not provided a response to the allegations. On that same day, the Administrator issued a subpoena to Respondent requiring him to appear on November 8, 2022 to provide a sworn statement relating to his actions in the *Krause* case. On October 13, 2022, an investigator for the Administrator contacted Respondent by email at his work email address at the Cook County Clerk of Court and asked whether Respondent would accept service of the subpoena via email. On October 17, 2022, Respondent replied to the email from the

Administrator's investigator and agreed to accept service of the subpoena via email. On the same day, the Administrator's investigator sent the subpoena to Respondent via email to Respondent's work email address.

- 40. On November 7, 2022, Respondent sent an email to the Administrator's investigator asking that the sworn statement be rescheduled due to medical issues he stated pertained to a family member. On the same day, counsel for the Administrator spoke with Respondent on the phone, and subsequently followed up the conversation with an email. Counsel for the Administrator agreed to reschedule the sworn statement but stated that the subpoena remained pending. Respondent replied by email confirming his agreement to provide his written response to the allegations relating to his conduct in the *Krause* case to the Administrator by December 2, 2022.
- 41. As of December 12, 2022, Respondent had not submitted his written response to the allegations pertaining to his actions in the *Krause* case. On that date, counsel for the Administrator sent Respondent an email asking Respondent when he planned to submit his written response. Respondent did not reply to that email.
- 42. On June 15, 2023, counsel for the Administrator again emailed Respondent at both his personal and work email address and asked him to provide dates for his sworn statement pertaining to his conduct in the *Krause* case. Respondent did not reply to that email. As of June 20, 2023, Respondent had not provided his written response to the allegations pertaining to his conduct in the *Krause* case, had not sought any extensions of time to do so, and has not appeared for his sworn statement. Respondent's appearance in response to the October 4, 2022 subpoena has never been waived or excused.

43. By reason of the conduct described above, Respondent has engaged in the following

misconduct:

a. knowingly failing to respond to a lawful demand for information from an admissions or disciplinary authority, by conduct including failing to respond to the ARDC's repeated requests for information relating to his

conduct in the *Kraus*e case, in violation of Rule 8.1(b) of the Illinois Rules of Professional Conduct (2010).

WHEREFORE, the Administrator requests that this matter be assigned to a panel of the

Hearing Board, that a hearing be held, and that the panel make findings of fact, conclusions of fact

and law, and a recommendation for such discipline as is warranted.

Respectfully Submitted,

Jerome Larkin, Administrator
Attorney Registration and
Disciplinary Commission

By: /s/ Richard Gleason Richard Gleason

Richard Gleason Counsel for the Administrator 130 East Randolph Drive, Suite 1500 Chicago, Illinois 60601

Telephone: (312) 565-2600 Email: <u>rgleason@iardc.org</u>

Email: ARDCeService@iardc.org

MAINLIB #1637513 v1

### Exhibit 2

# BEFORE THE HEARING BOARD OF THE ILLINOIS ATTORNEY REGISTRATION AND DISCIPLINARY COMMISSION

FILED
July 11, 2025

ARDC CLERK

In the Matter of:

### **ALVIN PHILLI PORTIS,**

Attorney-Respondent,

Commission No. 2023PR00063

No. 6294643.

### **ORDER**

The Chair having considered the Administrator's <u>Motion to Bar Respondent's Testimony</u> and <u>Deem Allegations Admitted</u>, or for Other Relief (<u>Motion</u>), and Respondent having filed no response,

#### IT IS ORDERED:

1. The Administrator's Motion is granted. This matter has been pending since September 27, 2023 and was delayed for over a year to give Respondent time to confer with the Administrator and file his answer. Respondent filed his answer on January 22, 2025. In a prehearing conference on January 23, 2025 that Respondent attended, the Chair entered a scheduling order setting a discovery closure date of April 30, 2025 and hearing dates of July 21 and 22, 2025. Despite Respondent's knowledge of these dates, he has not cooperated with the Administrator to complete his deposition and has provided no explanation for his failure to do so. The Administrator's ability to prepare for hearing is prejudiced by Respondent's lack of cooperation and failure to comply with the Chair's order of January 23, 2025. Therefore, as a sanction pursuant to Supreme Court Rule 219(c), Respondent's answer is stricken, the allegations of the Complaint are deemed admitted, and Respondent is barred from testifying

regarding the substance of those allegations. Respondent may offer testimony for purposes of

mitigation, should he choose to do so;

2. The allegations of the Complaint having been deemed admitted, no further proof is

required. At hearing the parties shall be limited to presenting evidence of aggravating and

mitigating factors and the form and amount of discipline to be imposed;

3. Given the default posture of this matter, the hearing will be held remotely on July 21,

2025, commencing at 10:00 a.m., via Microsoft Teams video conference. The Clerk of the

Commission shall provide the parties with the Teams access information;

4. The hearing date of July 22, 2025 is vacated; and

5. The Administrator's alternative request to continue the hearing is denied as moot.

**CERTIFICATION** 

I, Michelle M. Thome, Clerk of the Attorney Registration and Disciplinary Commission of the Supreme Court of Illinois and keeper of the records, certify that the foregoing is a true copy of the order, approved by the Hearing Board Chair, entered in the above-entitled cause of record filed in my office on July 11, 2025.

/s/ Michelle M. Thome

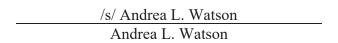
Michelle M. Thome,
Clerk of the Attorney Registration and
Disciplinary Commission of the
Supreme Court of Illinois

### **PROOF OF SERVICE**

I, Andrea L. Watson, hereby certify that I served a copy of this Order on the Attorney-Respondent listed at the e-mail address shown below on July 11, 2025, at or before 5:00 p.m. At the same time, a copy of this Order was sent to Counsel for the Administrator by e-mail service.

Alvin Philli Portis Attorney-Respondent alvinportis@gmail.com

Under penalties as provided by law pursuant to Section 1-109 of the Code of Civil Procedure, the undersigned certifies that the statements set forth in this instrument are true and correct, except as to matters therein stated to be on information and belief and as to such matters the undersigned certifies as aforesaid that she verily believes the same to be true.



4926-4608-6227, v. 1

### Exhibit 3

### 2023PR00063

## BEFORE THE HEARING BOARD OF THE ILLINOIS ATTORNEY REGISTRATION AND DISCIPLINARY COMMISSION

In the Matter of:

ALVIN PHILLI PORTIS,

Attorney-Respondent,

No. 6294643.

Commission No. 2023PR00063

### AFFIDAVIT OF AGREED SERVICE PURSUANT TO COMMISION RULE 214(c)

- 1. I, MICHAEL R. HALL, an agent of the Attorney Registration and Disciplinary Commission who is over the age of 18, on oath state that per the agreement of the parties, I served a copy of the Complaint, Notice of Complaint, Order Appointing the Chairperson, a memorandum of the filing procedures and a copy of the Commission Rules (Complaint Packet), in the above-captioned matter, on Respondent, via email to <a href="mailto:alvinportis@gmail.com">alvinportis@gmail.com</a>, on October 3, 2023 at approximately 1:32 p.m..
- 2. On October 3, 2023, at approximately 11:51 a.m., I called Respondent at (773) 203-5873, his registered home telephone number. I spoke with Mr. Portis and told him I had a complaint to serve on him and asked if he was willing to accept service via email. He said he would accept it via email, so I asked him which email address he preferred and he told me to use <a href="mailto:alvinportis@gmail.com">alvinportis@gmail.com</a>, his registered email address.
- 3. On October 3, 2023, at approximately 1:32 p.m., I sent the Complaint Packet via email to alvinportis@gmail.com, thus completing service.

FILED 10/6/2023 2:50 PM ARDC Clerk

Under penalties as provided by law, pursuant to Section 1-109 of the Code of Civil 5.

Procedure, the undersigned certifies that the statements set forth in this instrument are true and

correct, except as to matters therein stated to be on information and belief and as to such matters

the undersigned certifies as aforesaid that he verily believes the same to be true.

/s/ Michael R. Hall

Michael R. Hall

Dated: October 6, 2023